

Evaluating self-generated decisions in frontal pole cortex of monkeys

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The frontal pole cortex (FPC) expanded markedly during human evolution, but its function remains uncertain in both monkeys and humans. Accordingly, we examined single-cell activity in this area. On every trial, monkeys decided between two response targets on the basis of a 'stay' or 'shift' cue. Feedback followed at a fixed delay. FPC cells did not encode the monkeys' decisions when they were made, but did so later on, as feedback approached. This finding indicates that the FPC is involved in monitoring or evaluating decisions. Using a control task and delayed feedback, we found that decision coding lasted until feedback only when the monkeys combined working memory with sensory cues to 'self-generate' decisions, as opposed to when they simply followed trial-by-trial instructions. A role in monitoring or evaluating self-generated decisions could account for FPC's expansion during human evolution.

The most anterior part of the cerebral cortex, called area 10 of Walker¹ or the FPC^{2,3}, is the largest area in the prefrontal cortex of humans⁴, one that expanded disproportionately during human evolution⁵. FPC has reciprocal connections with most prefrontal areas^{6–9} and its cells have an unusually high density and number of dendritic spines¹⁰. These properties suggest that FPC is important in prefrontal function.

The anterior prefrontal cortex, including FPC, has been implicated in several cognitive functions, such as establishing task sets¹¹, prospectively coding and deferring goals^{12–14}, making preconscious and exploratory decisions^{15,16}, detecting both actual and potential outcomes of decisions^{17,18}, coordinating internal and external influences on cognition¹⁹, combining results from multiple cognitive operations², processing relational complexity^{20,21}, evaluating self-generated knowledge²², making evaluative judgments²³, and detecting or employing deception^{24,25}, among others. Some of these functions can be attributed to nearby areas rather than to FPC *per se*, but a clear-cut understanding of FPC function remains elusive.

Single-cell neurophysiology could provide insight into FPC function, but an overlying bony air sinus limits access to this area in macaque monkeys. Recently, we overcame this problem²⁶, and here we examined the neuronal activity that occurred as monkeys performed a strategy task^{27–29}. This task incorporated two factors thought to be important in FPC function: coordinating internal and external sources of information¹⁹ and combining cognitive processes to guide behavior². We found that FPC neurons encoded decisions at the time of feedback.

RESULTS

Behavior

We operantly conditioned two rhesus monkeys to perform an instructed strategy task (Fig. 1a). Each trial required the monkey to

decide on one of two targets for a saccade. A trial began when three stimuli appeared on a video screen: a fixation point (white circle) flanked by two saccade targets (white squares). After the monkey fixated the circle for 1.5 s, a cue instructed either a stay or shift decision. Stay cues required a saccade to the same target chosen on the preceding trial, whereas shift cues required a saccade to the alternative target. In the visually cued strategy task, one of four randomly chosen visual stimuli appeared on each trial. A white vertical bar and a yellow square instructed stay, whereas a white horizontal bar and a purple square instructed shift (Fig. 1b). In the fluid-cued strategy task, presented in a separate block of trials, one drop of fluid instructed stay and two half-drops instructed shift (Fig. 1b). Fluid delivery began at the start of the cue period. In both tasks, provided that the monkey maintained central fixation throughout the cue period (0.5 s) and the delay period (1.0, 1.25 or 1.5 s, randomly selected on each trial), the fixation point disappeared as the 'go' signal, triggering a saccade to one (and only one) of the two targets. When the monkey fixated on a target, both squares were filled in. After a pre-feedback fixation period (0.5 or 1.0 s, in blocks of trials), feedback arrived in one of two forms: fluid reward for correct decisions or red squares over both targets for errors. After errors, the cue from that trial repeated on correction trials, which continued until the monkey performed correctly.

Both monkeys performed the visually cued strategy task at better than 90% correct (Supplementary Table 1). In the fluid-cued strategy task, the performance of the first monkey nearly matched this level and the second monkey also performed above chance level (Supplementary Table 1). Reaction times were ~310 ms (Supplementary Table 2) and both monkeys maintained fixation within $\pm 1^\circ$ on more than 90% of the trials.

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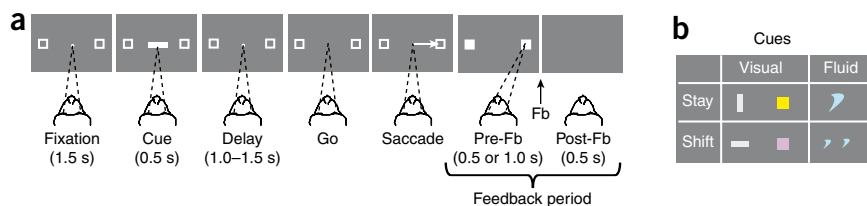


Figure 1 Task and cues. (a) Sequence of task events. Gray rectangles indicate the video screen and the dashed lines indicate the fixation target. A central white circle (the fixation point) and two unfilled white squares (not to scale) appeared first, followed by a cue (the white horizontal rectangle in this case) and a delay period. Offset of the fixation point served as the go signal for a saccade (white arrow) to one of the two squares. Feedback (Fb) arrived after the saccade. (b) Cues and the strategy that each cue instructed. Blue shapes indicate drops of fluid.

Except where noted, our analyses excluded both error and correction trials. Although we did not design this experiment to distinguish decisions from spatial targets, saccade direction, actions or responses, the results on error trials helped us to make this distinction. Accordingly, we describe our results in terms of the monkey's decisions.

FPC activity during the visually cued strategy task

We recorded neuronal activity in three tasks, two delay conditions and two monkeys (Supplementary Table 3). For the visually cued strategy task, this database included 577 FPC cells: 347 from the first monkey and 230 from the second. A comparison of discharge rates during the fixation, cue, delay and feedback periods (Kruskal-Wallis test, $\alpha = 0.05$) identified 274 cells (47%) with significant task-related activity.

We tested each task-related cell for decision selectivity (left versus right) and strategy selectivity (shift versus stay) by two-way ANOVA, separately for each task period (Fig. 2). A typical cell with decision selectivity showed increased activity just before and after feedback but only when the monkey had chosen one of the two targets (Fig. 2a and Supplementary Fig. 1). The frequency of cells preferring the left or right targets did not differ significantly (χ^2 test, $\chi^2 = 2.46$, $P = 0.12$; 62 left, 38 right).

Of the 274 task-related cells, 100 (36%) showed decision selectivity during the feedback period (Fig. 3). In no other task period did the percentage of decision-selective cells exceed chance level (Fig. 3a). With few and weak exceptions (Supplementary Fig. 2), task-related cells that lacked significant decision selectivity also only showed activity modulation during the feedback period. Decision selectivity occurred in both the 300-ms period before feedback and the 200-ms period after the onset of feedback, with individual neurons showing various combinations (Fig. 3b). Strategy selectivity did not occur above chance level in any task period (Fig. 3a) and FPC cells also failed to encode either cue features or the decision made on the previous trial.

Measures of population activity confirmed the single-cell results. First, we computed the mean discharge rate for decision-selective cells. Both standard population averages

(Fig. 2b) and z score normalized averages (Supplementary Fig. 3) indicated that FPC began to discriminate preferred versus anti-preferred decisions ~ 0.5 s before feedback, a difference that persisted until ~ 0.5 s after the onset of feedback. The difference in activity between the preferred and anti-preferred decisions served as a measure of decision selectivity (Fig. 2c). Second, we quantified the strength of decision selectivity using receiver operating characteristic (ROC) analysis. ROC values reflect the ability to decode a signal on the basis of activity during a single trial, without being affected by a cell's overall activity level or its dynamic range. Decision coding was robust at the level of single cells (Fig. 2d) and the population mean (Fig. 2c). The mean ROC value during the feedback period was 0.69 ± 0.10 (s.d.), which significantly exceeded that for the shuffled data (0.55 ± 0.01 , Mann-Whitney U test, $P < 0.001$).

Error trials

Three separate statistical tests indicated that there was less robust decision coding on error trials compared with correctly performed trials (Fig. 4). We performed this analysis at the population level because the relatively small number of error trials precluded a cell-by-cell analysis. First, for preferred decisions, the mean firing rate on correct trials significantly exceeded that on error trials (Fig. 4a–c) for the overall feedback period (t test, $t_{4,775} = 3.20$, $P = 0.0014$) and for both its pre-feedback ($t_{4,775} = 3.25$, $P = 0.0012$) and post-feedback ($t_{4,775} = 2.70$, $P = 0.007$) components. Second, we performed a bootstrap analysis by shuffling the decision designation (left or right) and recalculating decision selectivity 1,000 times. For correct trials, the observed value vastly exceeded all 1,000 sets of shuffled data (Fig. 4d

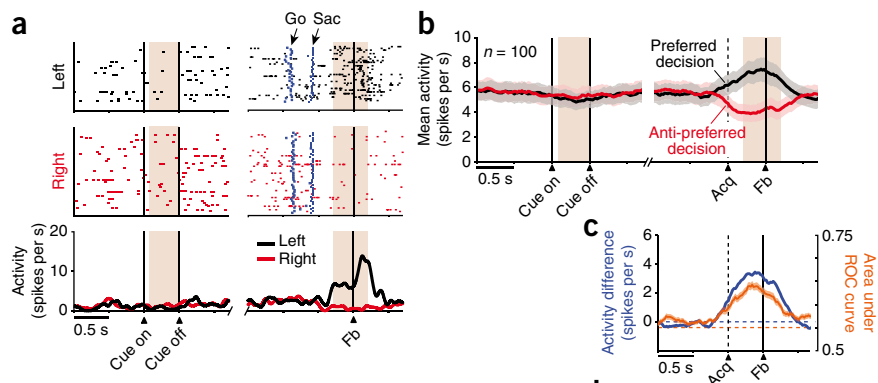
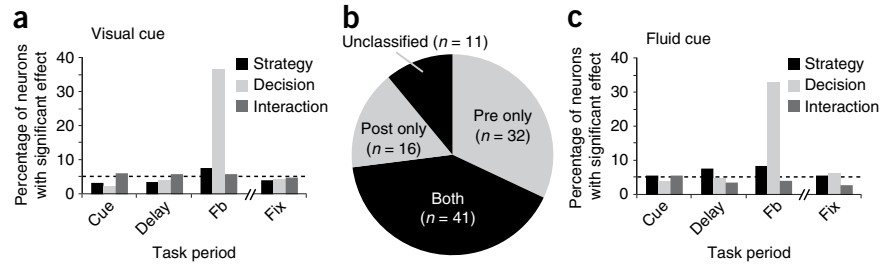


Figure 2 Decision-selective activity in the visually cued strategy task. (a) Activity from a single cell aligned on cue onset (cue on, left) and feedback (Fb, right), with saccade onset (sac) and the go cue indicated by marks on each raster line, and cue offset (cue off) marked by a vertical line. Raster displays show spike times with spike-density averages below. Background shading indicates the analysis periods. Feedback-period activity for left decisions (8.2 ± 5.3 spikes per s, mean \pm s.d.) significantly exceeded that for right decisions (0.8 ± 1.7 spikes per s, two-way ANOVA, $F_{1,87} = 85.0$, $P < 0.001$). (b) Population activity for decision-selective FPC neurons, computed separately for each neuron's preferred (black) and anti-preferred (red) decision. Shading indicates s.e.m. Bin width was 20 ms, three-bin moving average. The dashed vertical line indicates target acquisition (acq). (c) The activity difference between preferred and anti-preferred decisions (blue) from b and the mean ROC value from d (orange, shading indicates s.e.m.). The blue dashed line is at 0 spikes per s and the orange dashed line is at the mean of shuffled ROC values. (d) ROC plots for decision-selective FPC neurons, with the area under the ROC curve color coded for each cell (scale at left), ranked according to values during the feedback period.

Figure 3 Decision-selective activity by task period. (a) For the visually cued strategy task, FPC neurons with significant main or interaction effects (two-way ANOVA, with strategy and decision as factors), as a percentage of task-related neurons ($n = 274$). Dashed line indicates percentage expected by chance. A significantly above-chance percentage of decision effects occurred only in the feedback period (χ^2 test, $\chi^2 = 83.1$, $P < 0.001$). Fix, pre-cue fixation period; ANOVA was based on the strategy and decision factors from the previous trial. (b) Number of neurons that showed decision-selective activity during the 300-ms pre-feedback period (pre) and the 200-ms post-feedback period (post). Some neurons (unclassified) showed significant decision-selectivity for the 500-ms feedback period as a whole, but not for either its pre- or post-feedback components. (c) Data are presented as in a, for the fluid-cued strategy task ($n = 143$). A significantly above-chance percentage of decision effects occurred only in the feedback period (χ^2 test, $\chi^2 = 36.3$, $P < 0.001$).



and **Supplementary Fig. 4**). In contrast, for error trials, the observed value fell in the range of the shuffled data. Finally, ROC analysis confirmed that there was weaker decision selectivity during error trials (**Fig. 4e**).

Although all three analyses revealed weaker decision coding on error trials, they yielded inconsistent results as to its statistical significance. The mean firing rates (**Fig. 4a–c**) did not significantly differ on error trials for preferred versus anti-preferred decisions for either the entire feedback period ($t_{911} = 1.78$, $P = 0.08$) or for its pre-feedback ($t_{911} = 1.69$, $P = 0.09$) and post-feedback ($t_{911} = 1.51$, $P = 0.13$) components. The bootstrap analysis (**Fig. 4d**) yielded a significant difference on error trials for the whole feedback period ($P = 0.027$, two-tailed test), but not for either the pre-feedback ($P = 0.10$) or post-feedback ($P = 0.14$) periods, separately (**Supplementary Fig. 4**). ROC analysis indicated that there was substantial decision coding on error trials during the pre-feedback period but not during the post-feedback period (**Fig. 4e**).

Effects of delayed feedback

Using a control condition, we examined whether decision selectivity developed in relation to the time of the saccade or the time of feedback (**Fig. 5**). In the standard condition, described above, feedback arrived 0.5 s after the saccade. In separate blocks of trials, which we refer to as the delayed-feedback condition, feedback occurred 1.0 s after the saccade. Feedback-period activity was assessed as before, from 300 ms before feedback onset until 200 ms afterward.

Of 65 task-related cells tested in the delayed-feedback condition, 21 cells (32%) had significant decision selectivity during the feedback

period. The percentage did not differ significantly from the 36% observed in the standard delay condition (χ^2 test, $\chi^2 = 0.4$, $P = 0.53$). Thus, delaying feedback did not decrease the proportion of cells encoding the decision and this result was consistent with the population analysis. In both the standard (**Fig. 2b**) and delayed-feedback (**Fig. 5a**) conditions, decision selectivity increased near the time of the saccade and persisted until after feedback, declining thereafter with a similar time course (**Fig. 5b**). Note that had the signal followed a post-saccadic time course, the decay rate observed in the standard condition would have led to a loss of decision coding by the time feedback arrived in the delayed-feedback condition (**Fig. 5c**). ROC analysis confirmed the results from population activity averages (**Supplementary Fig. 5**).

Reward as feedback versus reward *per se*

Because activity modulation in FPC occurred around the time of reward and was greater for rewarded (correct) than for unrewarded (error) trials (**Fig. 4a–c**), we tested whether the activity reflected the reward delivery *per se*. In the fluid-cued strategy task, fluid was delivered to the monkey both as the cue and, later, as feedback (**Fig. 1b**). When presented as a cue, the fluid also served as a reward for maintaining fixation until cue onset. Thus, if the FPC cells anticipated or responded to reward *per se*, then they should have shown significant activity modulation both early and late in a trial. They did not.

We tested 302 neurons in the fluid-cued task, 194 and 108 cells from the first and second monkey, respectively. Of this population, 143 cells (47%) showed task-related activity and 47 of these task-related cells (33%) showed decision selectivity (**Fig. 3c** and **Supplementary Table 3**).

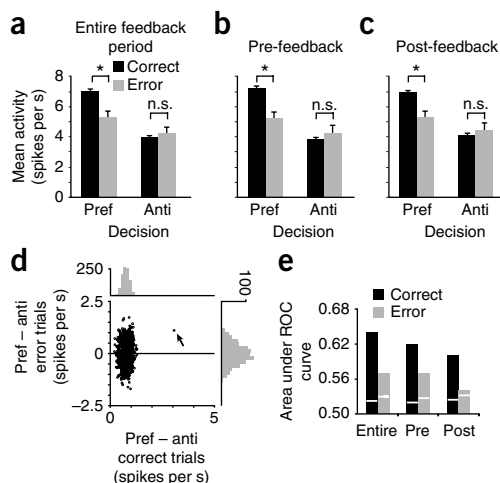


Figure 4 Population activity on error trials. (a) Mean firing rate on correct and error trials, during the entire feedback period, for cells with decision-selective activity. Error bars represent s.e.m. Anti, anti-preferred decision; Pref, preferred decision. Activity differed on correct versus error trials for preferred decisions (* $P < 0.001$), but not for anti-preferred decisions (n.s., not significant, t test, $t_{4,787} = 0.69$, $P = 0.49$). Activity also differed for preferred versus anti-preferred decisions on correct trials (black bars, t test, $t_{8,495} = 14.99$, $P < 0.001$), but not for anti-preferred decisions on error trials (grey bars, t test, $t_{8,495} = 14.94$, $P < 0.001$ for preferred versus anti-preferred decisions on correct trials). (b) Data are presented as in a for the 300-ms pre-feedback component of the feedback period ($t_{4,829} = 0.99$, $P = 0.32$ for anti-preferred decisions; $t_{8,495} = 14.94$, $P < 0.001$ for preferred versus anti-preferred decisions on correct trials). (c) Data are presented as in a for the 200-ms post-feedback component of the feedback period ($t_{4,851} = 0.79$, $P = 0.43$ for anti-preferred decisions; $t_{8,495} = 12.34$, $P < 0.001$ for preferred versus anti-preferred decisions on correct trials). (d) Activity difference between preferred and anti-preferred decisions for the observed (arrow) and shuffled data (remaining points): correct trials versus error trials for the entire feedback period. (e) Area under ROC curve for correct and error trials for the entire feedback period, as well as for its pre-feedback (pre) and post-feedback (post) components. White lines indicate ROC values for shuffled data.

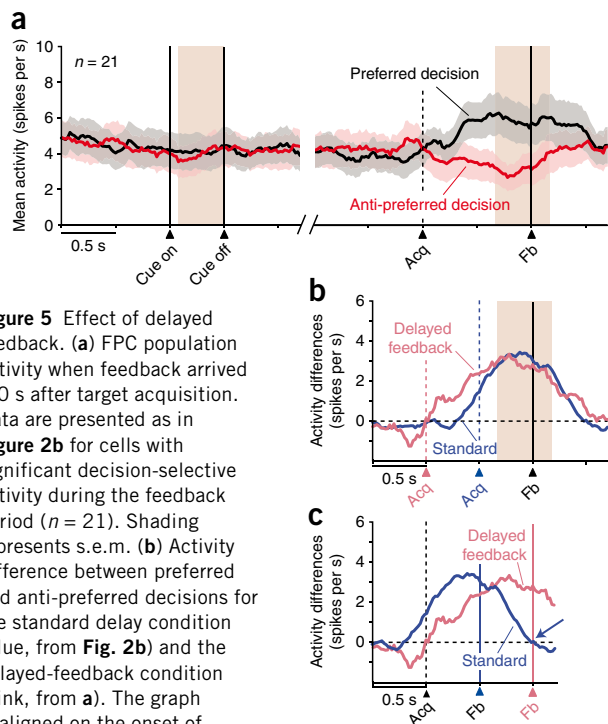


Figure 5 Effect of delayed feedback. **(a)** FPC population activity when feedback arrived 1.0 s after target acquisition. Data are presented as in **Figure 2b** for cells with significant decision-selective activity during the feedback period ($n = 21$). Shading represents s.e.m. **(b)** Activity difference between preferred and anti-preferred decisions for the standard delay condition (blue, from **Fig. 2b**) and the delayed-feedback condition (pink, from **a**). The graph is aligned on the onset of feedback. **(c)** Data are presented as in **b**, but aligned on target acquisition. Note that with standard delays, the activity difference decays to zero within 1.0 s of target acquisition (blue arrow), which is when feedback arrives in the delayed-feedback condition.

During the fluid-cued strategy task (**Fig. 6**), the activity of FPC cells (**Fig. 6a**) was the same as during the visually cued task, and we confirmed this result for both the population averages (**Fig. 6b,d**) and ROC values (**Fig. 6c**). In contrast with the results expected for cells related to reward *per se*, FPC cells showed little, if any, activity modulation early in the trial, either before or after the fluid cue. Activity rates did not differ significantly between the visually cued and fluid-cued tasks during the fixation (t test, $t_{413} = 0.31$, $P = 0.76$), cue ($t_{413} = 0.35$, $P = 0.73$) or delay ($t_{413} = 0.50$, $P = 0.62$) periods. As in the visually cued strategy task, significant activity modulation occurred only around feedback time. These findings indicate that FPC activity did not reflect the anticipation or receipt of fluid rewards *per se*.

The results from the visually cued and fluid-cued tasks were also similar in all other respects. The feedback-period decision signal (**Fig. 6d**) did not differ between the two tasks in either timing (Kolmogorov-Smirnov test, $P = 0.26$) or magnitude (t test, $t_{145} = 1.48$, $P = 0.14$), and only the feedback period had higher than chance levels of decision selectivity (**Fig. 3a,c**). Similar to the visually cued task, the mean ROC value during the feedback period was 0.72 ± 0.12 (s.d.), which significantly exceeded the shuffled value of 0.57 ± 0.01 (Mann-Whitney U test, $P < 0.001$).

Strategy versus delayed-response task

To make a decision in the strategy task, the monkey had to remember its previous

decision and combine this information with a visual cue. As a control, the delayed-response task eliminated these requirements. On each trial, a visuospatial cue (inside the left or right target) guided responses without reference to any previous trial (**Fig. 7a**). The time course of events matched the visually cued strategy task. One version of the delayed-response task had the standard, 0.5-s delay between the saccade and feedback, whereas another had delayed feedback (1.0 s).

Of 83 task-related cells recorded in this task, 22 (27%) showed decision selectivity during the feedback period (**Fig. 7b**). Mean task-related activity did not differ significantly between the visually cued strategy task and the delayed-response task (t test, $t_{353} = -0.67$, $P = 0.50$ for the fixation period; $t_{353} = -0.86$, $P = 0.39$ for the cue period; $t_{353} = -0.59$, $P = 0.55$ for the delay period; $t_{353} = -0.98$, $P = 0.33$ for the feedback period).

We compared decision coding in the delayed-feedback condition with that in the standard-delay condition. The additional delay in feedback had no effect on decision selectivity for the strategy task, but it had a large and significant effect for the delayed-response task (**Fig. 7c**); only 3 of 34 task-related cells (9%) showed decision selectivity with longer delays, which differed significantly from the strategy task (χ^2 test, $\chi^2 = 6.70$, $P < 0.01$). Of 21 cells with decision selectivity in the delayed-feedback condition of the visually cued strategy task, we tested eight in the delayed-response task. For this population, decision selectivity decreased significantly earlier in the delayed-response task than in the strategy task (Kolmogorov-Smirnov test, $P < 0.001$), falling to the null level by the time of feedback arrived (**Fig. 7d**).

Localization

We reconstructed the recording sites by using magnetic resonance imaging *in vivo* as well as in histological material by reference to a pin inserted at the center of the recording chamber (**Fig. 8**). The recordings came mainly from area 10, which we recognized by the close correspondence of its cytoarchitecture with the archetype of homotypical neocortex.

Despite the fact that we placed the recording chamber more laterally in the second monkey, the results from the two monkeys did not differ notably (**Fig. 8**). For example, both monkeys had nearly the same proportion of task-related neurons (**Supplementary**

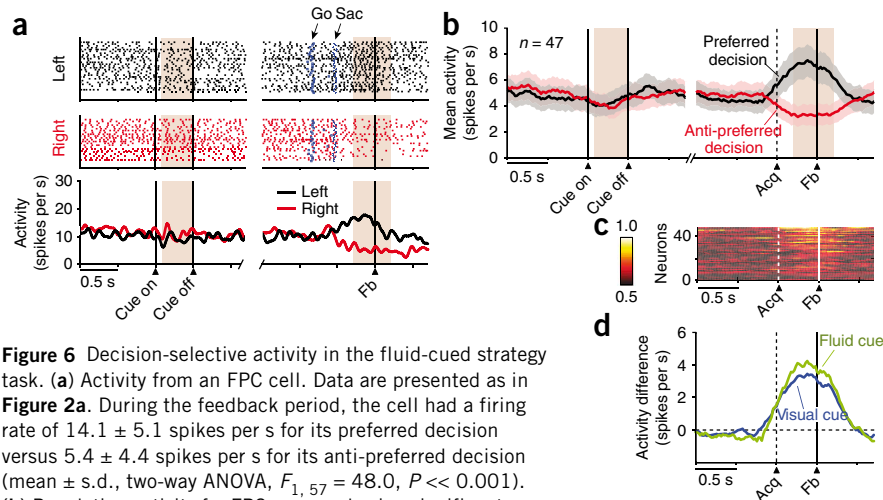


Figure 6 Decision-selective activity in the fluid-cued strategy task. **(a)** Activity from an FPC cell. Data are presented as in **Figure 2a**. During the feedback period, the cell had a firing rate of 14.1 ± 5.1 spikes per s for its preferred decision versus 5.4 ± 4.4 spikes per s for its anti-preferred decision (mean \pm s.d., two-way ANOVA, $F_{1,57} = 48.0$, $P < 0.001$). **(b)** Population activity for FPC neurons having significant decision-selective activity during the feedback period in the fluid-cued strategy task ($n = 47$). Data are presented as in **Figure 2b**. **(c)** Sliding ROC plots for the population shown in **b**. Data are presented as in **Figure 2d**. **(d)** Activity difference between preferred and anti-preferred decisions in the visually cued strategy task (blue, from **Fig. 2b**) and in the fluid-cued strategy task (green, from **b**).

Figure 7 Activity in the delayed-response task. (a) Example of a visuospatial cue in the delayed-response task. (b) Results of the two-way ANOVA. Data are presented as in **Figure 3a**. (c) Percentage of decision-selective neurons for the visually cued strategy task and the delayed-response (DR) task, for both the standard- and delayed-feedback conditions. In the delayed-response task, the percentage of decision-selective cells was significantly lower (*) in the delayed-feedback condition than in the standard condition (χ^2 test, $\chi^2 = 4.49$, $P = 0.034$). In the visually cued strategy task, this difference was not statistically significant (n.s., $\chi^2 = 0.4$, $P = 0.53$). (d) Population averages for decision-selective neurons tested in the delayed-feedback condition. The activity difference between preferred and anti-preferred decisions is shown for the visually cued strategy task (thick curve) and the delayed-response task (thin curve).

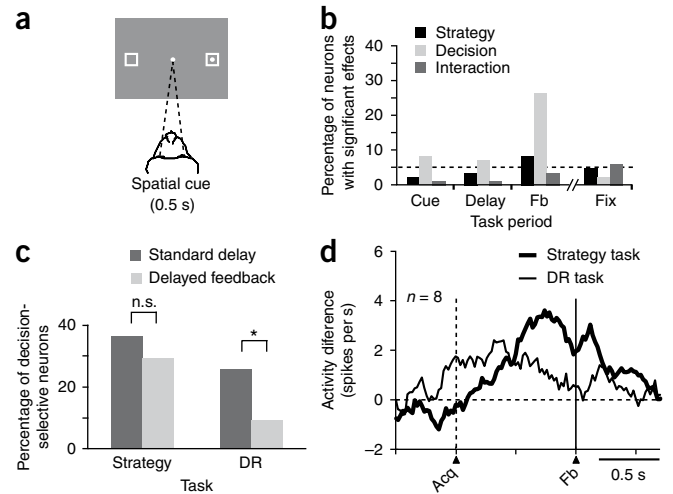
Table 3) and they did not differ in the proportion of neurons with decision selectivity (χ^2 test, $\chi^2 = 0.98$, $P = 0.32$).

DISCUSSION

We found that FPC cells had simple properties. They encoded only the monkey's decision and they did so only around the time of feedback. Most of the remaining task-related cells also showed activity modulation only around the time of feedback but lacked decision selectivity. The relative simplicity of FPC's activity contrasts with the complexity of activity patterns in other prefrontal areas, which have activity related to sensory cues, working memory of previous goals, prospective memory for future goals, and problem-solving strategies²⁷, among a long list of cognitive functions³⁰. FPC cells do not have any of these properties. Among the properties that they do have, three sets inform and constrain our interpretation of FPC activity: timing, relationship with reward and trial outcome, and persistence for self-generated decisions.

Timing

FPC cells encoded the monkey's decision from the time of the saccade until ~0.5 s after the onset of feedback, whether feedback arrived at a standard 0.5-s delay or a prolonged 1.0-s delay (**Fig. 5**). The decision signal thus had peri-feedback timing, rather than an exclusively postmovement time course. Although the strategy task required that the monkeys remember their previous decision, the fact that FPC's decision signal dissipated ~0.5 s



after feedback indicates that other areas must have maintained this information over the intertrial interval, rather than FPC.

A decision signal around the time of feedback suggests that FPC provides other brain areas, particularly other prefrontal ones^{6,8}, with the information needed to monitor the monkey's most recent decision. The FPC projects to orbitofrontal cortex⁷, for example, and might send it decision information for the computation of expected, earned reward³¹ and for assigning credit to decisions that produced a good outcome. Other prefrontal areas might use the same information for making the next decision. FPC's signal could also function in spatially selective top-down attention, which probably ramps up around the time of feedback, when monkeys must attend to their decision and its outcome. Along the same lines, the supplementary eye field and the anterior cingulate cortex also have signals that monitor performance³² and these areas might also influence or be influenced by FPC. Further studies should compare and contrast monitoring signals among these areas.

Reward and trial outcome

FPC cells did not encode the anticipation or delivery of fluid reward when it served as a strategy cue (**Fig. 6**), thus ruling out interpretations of FPC activity in terms of reward prediction or responses to rewards. The observation that FPC cells did not anticipate or respond to rewards when they were cues means that the pre- and post-reward activity seen later in the trial reflected the feedback conveyed by the fluid rather than its rewarding or reinforcing properties.

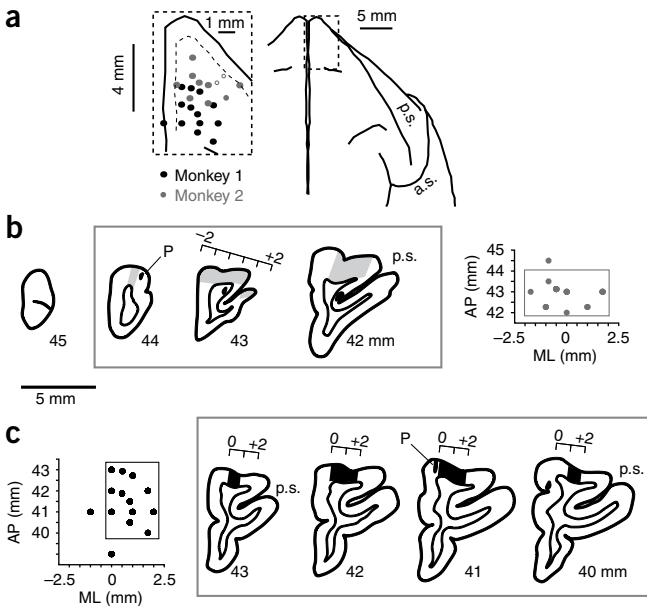


Figure 8 Recording locations. (a) Penetration sites with decision-selective cells (filled circles) in the visually guided strategy task. Shown is a dorsal view of cerebral cortex, a composite of two monkeys. a.s., arcuate sulcus; p.s., principal sulcus. The dashed box on the right matches the dashed box to the left. The dashed line indicates layer 4. Each dot represents the site of an electrode array, which included four or more electrodes. Anterior is up. (b) Section drawings from the second monkey. Medial is left and dorsal is up. The thick lines indicate the pial surface and the thin lines indicate the layer 6–white matter boundary. The filled spot indicates the defect caused by the pin (P) inserted at the center of the recording chamber. Coordinates relative to the interaural line (Horsley-Clarke APO). The boxes around three of the sections (left) and the data points (right) bound the location of most cells with decision selectivity. Shading indicates recording locations. AP, anteroposterior axis; ML, mediolateral axis. (c) Section drawings from the first monkey, presented as in **b**. Task-related cells in the fluid-cued strategy task and the delayed-response task were observed in nearly identical recording sites as those illustrated here.



Although FPC's decision signal had nothing to do with rewards *per se*, it was much more robust on correct trials than on error trials (Fig. 4). Perhaps this result indicates that FPC cells encoded both the monkey's decision and a successful trial outcome. This view, along with the observation that the difference between correct and error trials preceded feedback (Fig. 4b), might suggest that FPC encoded a prospective aspect of monitoring, such as 'confidence'^{33,34}. Taken at face value, such a signal could serve a useful function. Confidence in an upcoming, earned reward could contribute to the computations performed in areas such as orbitofrontal cortex.

Another possibility, which we prefer, is that the stronger decision coding on correct trials indicates that FPC is involved in monitoring decisions rather than actions and that it monitors certain kinds of decisions. The actions taken on error trials, along with its spatial targets, saccade metrics and related motor factors, did not differ from those on correct trials. But FPC activity differed substantially. The monitoring functions of FPC therefore probably relate to decisions and not to spatial or motor factors. Furthermore, these monitoring functions probably involve decisions made in the context of correct task performance rather than decisions made in other contexts. For example, if the monkey had forgotten its previous decision, the random decisions that followed would have been errors half of the time. In such circumstances and whenever there was noise in the decision-making mechanism³⁵, a weaker decision-monitoring signal would be expected.

Self-generation

One of our findings highlights the importance of self-generational factors in FPC activity. In the delayed-response task, the location of a visual cue, alone, dictated the monkeys' response on each trial (Fig. 7a). This control task thus lacked two key requirements of the strategy tasks: remembering the previous decision (or response location) and combining that memory with a sensory cue (stay or shift). The combination of memories with sensory cues thus required monkeys to self-generate decisions, as opposed to simply following sensory instructions that dictated each response. In the delayed-feedback condition, the FPC's decision signal lasted until feedback arrived in the strategy task, but it did not do so in the delayed-response task (Fig. 7c,d). This result suggests that when decisions involve an element of self-generation, FPC's signal lasts until feedback time and otherwise dissipates. Alternative accounts, such as attentional effects reflecting differences in task difficulty, cannot be ruled out entirely. However, no other aspect of activity differed between the strategy and delayed-response tasks, and our behavioral data did not indicate that the monkeys found the delayed-response task to be easier than the strategy tasks (Supplementary Tables 1 and 2).

Nevertheless, FPC did encode decisions in the delayed-response task (Fig. 7b). Because both monkeys had more than a year's experience with strategy tasks before we introduced the delayed-response task, this property could reflect the habit of monitoring decisions even when unnecessary. According to this view, the decision signal was generated habitually, but persisted only when necessary (Fig. 7c,d). Future recordings from monkeys trained only on the delayed-response task can clarify this issue.

Comparison with human FPC

Despite reasonable evidence that the FPC of rhesus monkeys, area 10, is homologous with at least part of the like-named area in humans, more evidence is needed, especially regarding connectivity in humans. In both species, the FPC has a homotypical cytoarchitecture that is

generic even by the standards of such areas. In both species, the FPC has a similar location relative to other prefrontal areas, such as the orbitofrontal cortex and the medial agranular cortex. And, of course, both occupy the frontal pole. But the FPC in humans is much larger than in monkeys and it may have additions or subdivisions that monkeys lack⁴. These differences, among others, limit the applicability of our results to the human FPC, but we can note some apparent similarities.

Our results suggest that the monkey FPC is involved in monitoring decisions and some neuroimaging research in humans supports this idea, especially for medial FPC^{36–38}. Selected neuroimaging research is also consistent with the idea that self-reference is important for FPC function. According to one study, the anterior prefrontal cortex is involved in evaluating self-generated decisions²², but the area activated lies lateral and posterior to the FPC as construed here. More often, self-referential functions are ascribed to medial frontal areas^{3,19,39–43}. For example, these areas are differentially activated when cues instruct a change in task, much like the shift cue in our experiment, rather than when cues instruct a specific task⁴⁴. These findings tie in with the participation of medial FPC in the 'default-mode network'⁴⁵ and in both self-generation and self-reference, more generally. On the basis of its connections, the monkey FPC appears to lie within a "medial network"⁷, which suggests that the monkey FPC could correspond to the medial FPC in humans.

Interpretational limitations and conclusions

We compared a nonspatially instructed strategy task with a spatially cued delayed-response task, using just two pre-reward delay periods. To test our conclusions, future studies should use an instructed-delay task with nonspatial stimuli and more delays.

Although we cited some selected neuroimaging results above, others are more difficult to reconcile with our findings. We might have predicted that self-generated rules would cause more FPC activation than instructed rules, but in a recent experiment, they did not⁴⁶. Similarly, prospective coding is considered to be an important function of FPC¹², but FPC cells showed no prospective coding of goals or strategies. Perhaps FPC functions only in particular aspects of prospection. One neuroimaging study indicates that medial FPC activity reflects left-right decisions¹⁶, as we found, but that signal occurs long before the subject's movement, whereas our signal followed movement. Outcome-related signals occur in Pavlovian conditioning¹⁷, which requires neither decisions nor actions. These signals could reflect a monitoring process that occurs automatically, even in the absence of decisions or actions. Anterior prefrontal cortex shows activity related to task set^{11,47} and rules⁴⁸, a finding that appears at odds with the absence of strategy-related activity in our data. Here the precise location of neuroimaging activations might be a critical factor. The areas activated with new task sets and rules are situated laterally in the anterior cortex and either correspond to lateral parts of FPC (area 10) or anterior parts of dorsolateral prefrontal cortex (area 46 or 47). If the monkey FPC corresponds to the medial FPC of humans, then the absence of such set- or rule-related activity in our results should not be surprising. Finally, although we discuss our result in terms of evaluating the affirmative decision to choose one of the two targets, the decision-selective signal could just as well reflect an evaluation of the choice that was not made¹⁸. Experiments comparing FPC activity during Pavlovian and instrumental tasks, tasks with more than two choices, and tasks with changing outcome probabilities should help resolve these issues.

In conclusion, our findings suggest that FPC is involved in monitoring and evaluating decisions, especially those with a self-generational component. These functions could account for the marked expansion

of FPC during human evolution⁵. Our results are also compatible with the idea that FPC coordinates external and internal contributions to cognition¹⁹ and combines the products of separate cognitive operations², in this case involving sensory cues and memories. The combination of cognitive operations across different domains of knowledge could provide a key source of human creativity⁴⁹.

METHODS

Methods and any associated references are available in the online version of the paper at <http://www.nature.com/natureneuroscience/>.

Note: Supplementary information is available on the Nature Neuroscience website.

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AUTHOR CONTRIBUTIONS

S.T. and S.P.W. conceived and designed the experiment. S.T. and A.G. performed the experiment and analyzed the data. S.T., A.G. and S.P.W. wrote the paper.

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ONLINE METHODS

Subjects and recording procedures. Two male rhesus monkeys (*Macaca mulatta*) were studied, weighing 10.0 and 10.7 kg, respectively. During task performance, each monkey sat in a primate chair with its head fixed facing a video screen 32 cm away. Initial fixation was constrained within $\pm 3^\circ$ and target fixation within $\pm 3.75^\circ$. All procedures were in accordance with the *Guide for the Care and Use of Laboratory Animals* and were approved by the National Institute of Mental Health Animal Care and Use Committee.

The procedures that we used for FPC recordings, including surgical procedures and chamber designs, were described previously²⁶. Briefly, using aseptic techniques and isoflurane anesthesia (1–3%, vol/vol, to effect), a recording chamber (10.65-mm inner diameter) was implanted over the exposed dura mater of the right FPC.

Single-cell activity was recorded from FPC using up to 16 platinum-iridium electrodes (0.5–1.5 M Ω at 1 kHz) inserted into the cortex with a multi-electrode drive (Thomas Recording). Single-cell potentials were isolated offline using a cluster-cutting technique (Off Line Sorter). An infrared oculometer (Arrington Research) recorded eye position.

The two versions of the strategy task and the delayed-response task had the same time course and the pre-feedback delay never varied across tasks within a day. For the standard delay condition, blocks in the visually cued strategy task averaged 130–140 trials (139 ± 45 trials (s.d.) in monkey 1, 131 ± 35 trials in monkey 2), blocks in the fluid cued strategy task averaged ~ 100 trials (97 ± 31 trials in monkey 1, 101 ± 20 trials in monkey 2) and blocks in the delayed-response task averaged ~ 70 trials (74 ± 21 trials in monkey 1, 66 ± 16 trials in monkey 2). For the delayed-feedback condition, blocks in the visually cued strategy task averaged ~ 140 trials (140 ± 32 trials in monkey 1, 143 ± 34 trials in monkey 2) and blocks in the delayed-response task averaged 60–100 trials (99 ± 15 trials in monkey 1, 60 ± 12 trials in monkey 2). During the recording of neuronal activity, the task blocks were usually presented in an ABAAB order, where A represents the strategy task and B represents the delayed-response task. Most often, the first of these blocks began before the initiation of recording, as several neurons were isolated with multiple electrodes, and this first block was extended as necessary to collect ~ 140 trials of neuronal data.

Stimulus material. The central, filled, white circle had a diameter of 0.6° (visual angle); the two unfilled, white target squares measured $2^\circ \times 2^\circ$ and appeared 11.6° from the center of the video screen. The square strategy cues measured $2^\circ \times 2^\circ$ and the rectangular cues were $5^\circ \times 1^\circ$. The filled, red squares that we used as negative feedback had the same dimensions as the target squares. In the delayed-response task, the filled, white circles that we used as visuospatial cues appeared in the center of the target square (Fig. 7a) and had a diameter of 0.6° .

Reward volume. A regulated liquid-delivery device⁵⁰ ensured that the volume of fluid (0.2 ml) given as a reward at the end of a successful trial matched the amount delivered as a cue in the fluid-cued strategy task for both the single drop and the two half-drops (0.1 ml each) of fluid (Fig. 1b).

Data analysis. To identify task-related neurons, we used the Kruskal-Wallis test ($\alpha = 0.05$) to compare mean firing rate among four task periods: the fixation period (0.5–1.0 s after fixation onset), the cue period (0.08–0.50 s after cue onset), the delay period (0.0–1.0 s after delay onset) and the feedback period (from 0.3 s before feedback onset until 0.2 s afterward). If this test yielded a significant effect of task period, then a neuron was classified as being task related. For task-related neurons, we then used a two-factor ANOVA ($\alpha = 0.05$) separately for each task

period, with factors decision (left versus right) and strategy (stay versus shift). Although many task-related cells showed neither effect, they modulated their activity at the same time as those that did during the feedback period. A few cells (Supplementary Fig. 2), however, showed some weak modulation during the cue or delay period. This weak modulation was not selective for the decision or strategy. Of 577 cells tested in the visually cued strategy task, 14 (2%) showed increase in activity during the cue period and 38 (7%) showed decrease in activity during the delay period (see cells in Supplementary Fig. 2), among some similar minorities. These percentages are near those expected by chance.

For the population averages, we measured the mean firing rate of each neuron in 20-ms bins aligned on cue and reward onset. To confirm these results, we also calculated the normalized population averages, based on the z score of each bin's firing rate relative to the mean activity from 1.0 s before cue onset to 0.5 s after reward onset. Two-sample Kolmogorov-Smirnov tests ($\alpha = 0.05$) examined the timing differences between pairs of conditions. There were 21 bins for the cue period (since a 420-ms period was analyzed) and 25 bins for the feedback period. The results were similar when we analyzed a larger time window.

For the ROC analysis, we computed the area under the ROC curve to measure decision selectivity, with 0.5 indicating no selectivity and 1.0 corresponding to maximal selectivity. To test whether the ROC values exceeded those expected by chance, we performed a bootstrap analysis. For each neuron, we shuffled the decision designation for each trial and recalculated the ROC values. This process was repeated 1,000 times for each neuron, and shuffled ROC values were compared with observed values (Mann-Whitney U test, $\alpha = 0.05$). The time course of decision selectivity was examined by calculating the area under ROC curve in a 200-ms time window that stepped across the trial in increments of 20 ms.

In addition to t tests, we used a bootstrap procedure to compare decision selectivity in correct and error trials (Fig. 4d and Supplementary Fig. 4). In correct trials, the decision designation (left or right) was shuffled randomly. Then, the preferred and anti-preferred decision was determined as in the conventional analysis and their difference calculated. Finally, for error trials, the decision designation was similarly shuffled, the same preferred and anti-preferred decisions were applied, and their difference calculated. This shuffling procedure was repeated 1,000 times, which yielded 1,000 sets of activity differences for correct and error trials.

Histology. The recording sites were reconstructed by standard histological analysis and magnetic resonance imaging. After we completed the recordings, the monkey was deeply anesthetized and then perfused with 10% formol saline (vol/vol), with a pin inserted through the center of the recording chamber immediately before and during the perfusion. Frozen, coronal sections were Nissl stained with cresyl violet.

Our recordings extended through most of the mediolateral extent of the monkey FPC and decision-selective cells were dispersed fairly evenly among the recording sites (Fig. 8). We did not observe any differences in properties along the mediolateral dimension of the FPC. We note, however, that our recordings were limited to a small area (a 5-mm diameter for each monkey), with a caudo-medial bias in the first monkey and a rostralateral bias in the second. The FPC recordings came from dorsomedial part of area 10 of Walker¹. In all cases, the recording sites were within 5 mm of the most rostral extent of layer 4. We made no attempt to determine the laminar distribution of recording sites.

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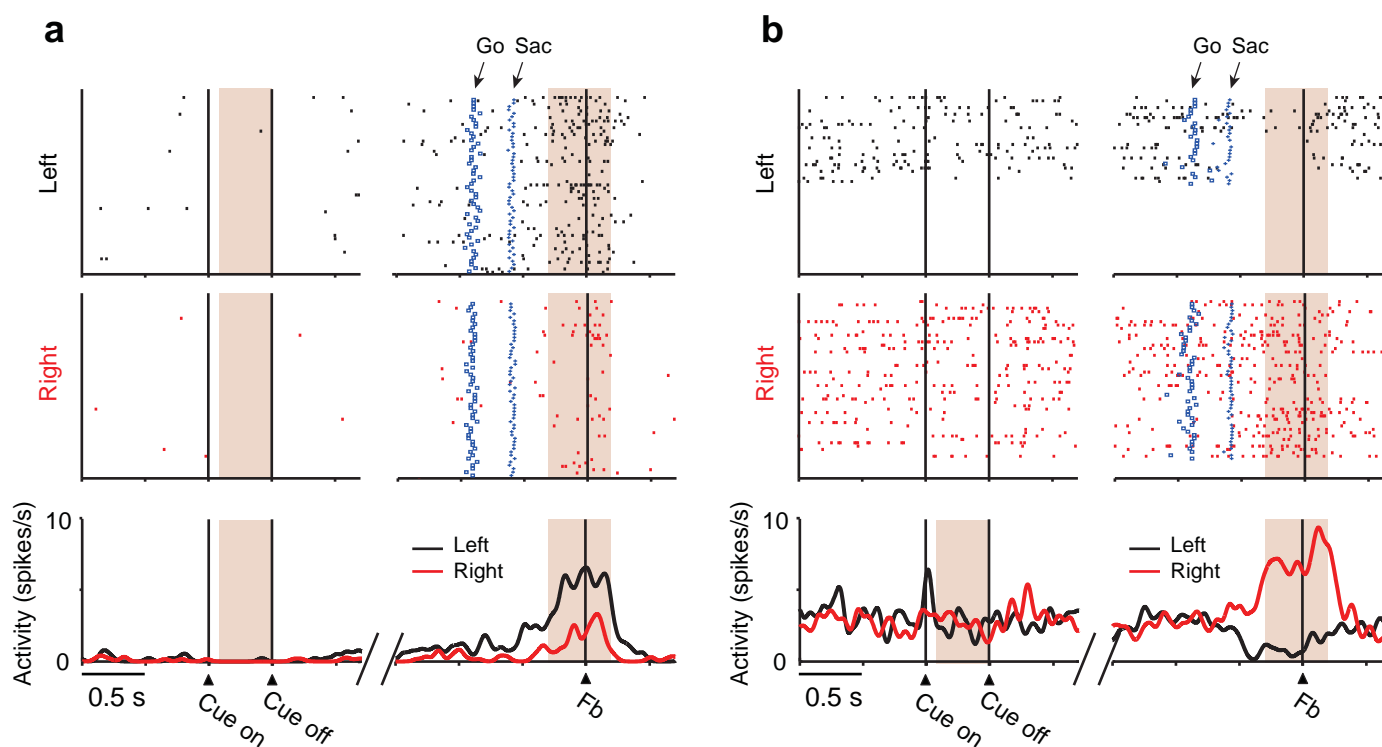
Evaluating self-generated decisions in frontal pole cortex of monkeys

Satoshi Tsujimoto, Aldo Genovesio and Steven P. Wise

Supplementary online information

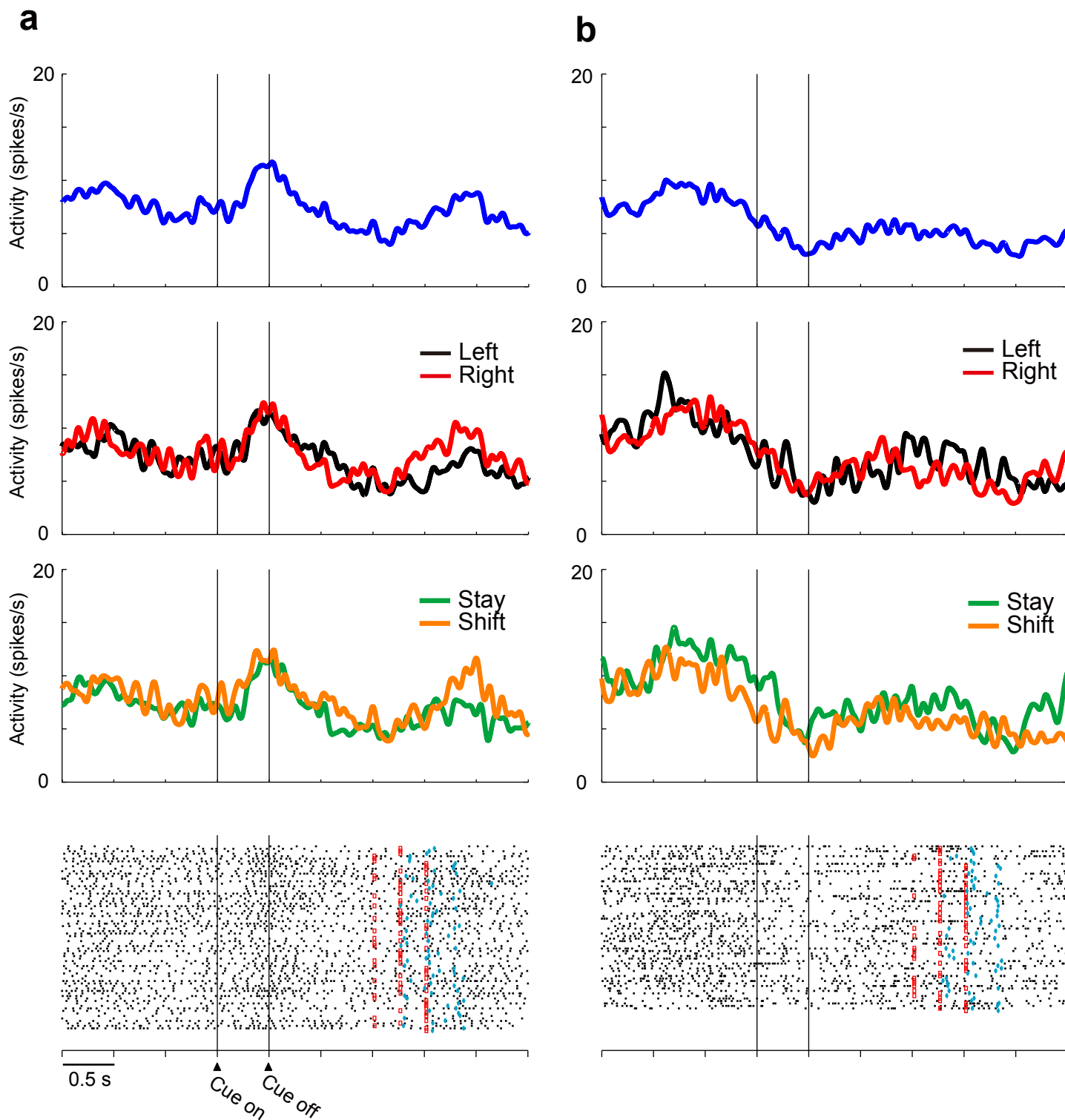
Supplementary Figures: 5

Supplementary Tables: 3

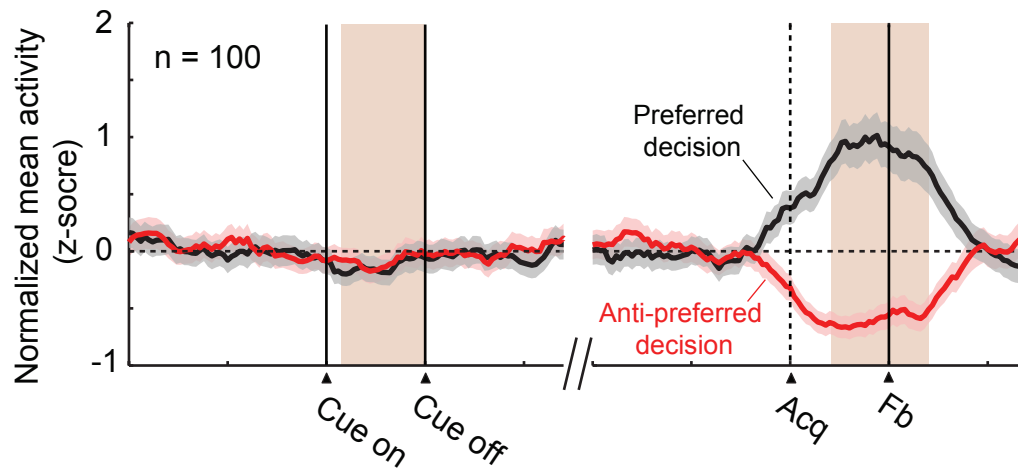


Suppl. Figure 1. Two FPC cells with decision-dependent activity in the format of Fig. 2a.

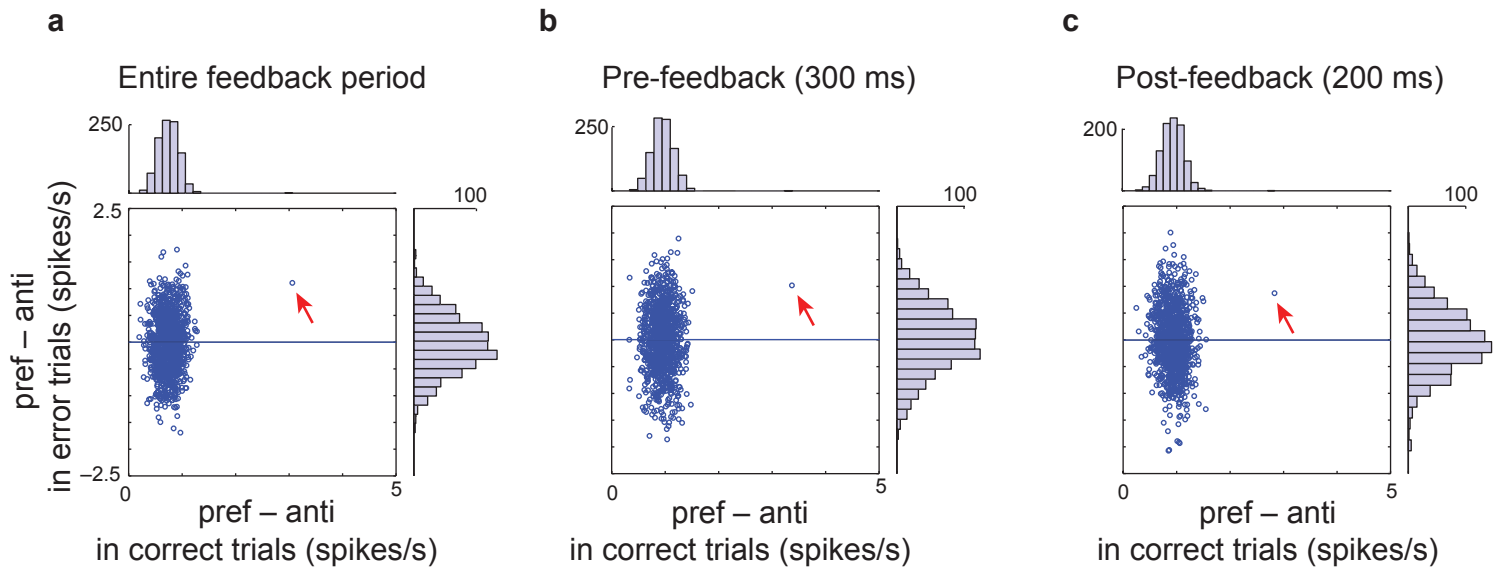
(a) This cell's feedback-period activity preferred trials when the monkey decided on the right target [mean \pm SD, 1.8 ± 2.4 vs. 5.3 ± 4.9 spikes/s for left and right decisions, respectively ($F_{1,132} = 30.7$, $p \ll 0.001$)]. **(b)** As in a, for a cell with a preference for left decisions [mean \pm SD, 6.5 ± 5.0 vs. 1.7 ± 2.4 spikes/s for left and right decisions, respectively ($F_{1,80} = 22.4$, $p \ll 0.001$)].



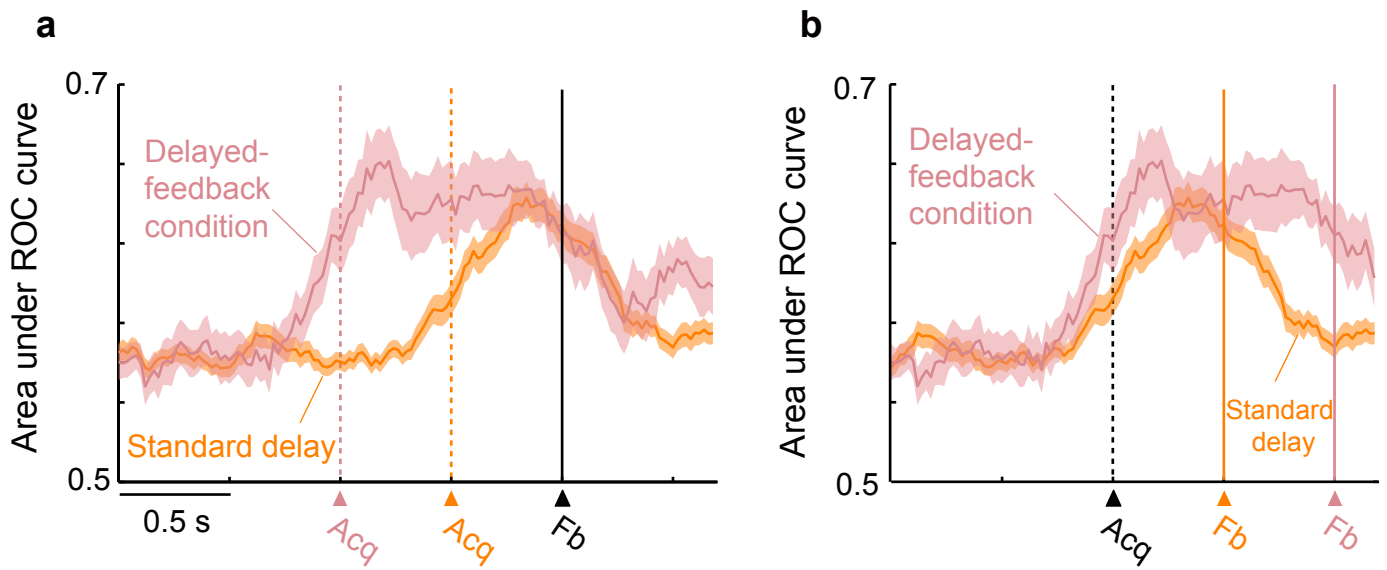
Suppl. Figure 2. Two FPC cells that show task-related activity outside the feedback period. Spike rasters and average spike-density functions. The top panel shows the histogram for all correct trials, aligned on cue onset. The second and third panels show the same data, with the trials divided into two conditions. In the bottom panel, raster plots are sorted chronologically from top to bottom, with the “go” cue (red square) and the target acquisition time (blue cross) indicated by marks on each raster line, and cue onset and offset marked by vertical lines. **(a)** This cell showed an increase in activity during the cue period, as compared to the fixation period (t -test, $t_{150} = -2.32$, $p = 0.02$). **(b)** This cell showed decrease in activity during the cue and delay periods, as compared to the fixation period (t -test, $t_{136} = 5.70$, $p < 0.001$).



Suppl. Figure 3. Normalized (z-score) population activity averages for the data as illustrated in Fig. 2b. Format as in Fig. 2b, except that the ordinate represents the z-score instead of the mean firing rate.



Suppl. Figure 4. Decision selectivity for correct vs. error trials. **(a)** Difference in activity between preferred and anti-preferred decisions for the observed and shuffled data for the entire 500-ms feedback period. Data for correct trials (abscissa) are plotted against error trials (ordinate). Red arrow points to the observed data. This plot replicates Fig. 4d. **(b)** As in a, for the pre-feedback component of the feedback period. For correct trials, the observed value significantly exceeded all 1,000 sets of shuffled data ($p < 0.001$), whereas, for error trials, the observed value did not reach statistical significance ($p = 0.10$, two-tailed test). **(c)** As in a, for the post-feedback component of the feedback period. Like the pre-feedback period, the observed value significantly exceeded all 1,000 sets of shuffled data for correct ($p < 0.001$), but not for error trials ($p = 0.14$, two-tailed test).



Suppl. Figure 5. Effect of delayed feedback. **(a)** ROC values in the delayed-feedback (pink) and standard 0.5-s delay (orange) conditions. Shading: SEM. The orange curve replicates the one in Fig. 2c. Vertical dashed lines show the time of target acquisition (Acq) for both conditions (color matched) and solid lines show that of feedback (Fb) onset. **(b)** The same curves as (a), but aligned on the time of target acquisition.

Supplementary Tables

Supplementary Table 1. Percentage of trials performed correctly. Numbers in parenthesis show the data for correction trials. In the delayed-response task, stay and shift cues indicate whether the position of visuospatial cue repeated or differed from the previous trial, respectively.

Cue	Monkey 1		Monkey 2	
	Right	Left	Right	Left
Visually cued strategy task				
Stay	91 (99)	91 (100)	93 (98)	93 (90)
Shift	94 (100)	92 (99)	93 (100)	92 (97)
Fluid-cued strategy task				
Stay	92 (99)	88 (98)	58 (94)	74 (99)
Shift	92 (99)	92 (100)	81 (98)	89 (98)
Delayed-response task				
Stay	88 (100)	87 (99)	98 (100)	96 (96)
Shift	90 (99)	93 (100)	99 (100)	99 (91)

Supplementary Table 2. Reaction times for correct trials (ms, mean \pm S.D.). In the delayed-response task, stay and shift cues indicate whether the position of visuospatial cue repeated or differed from the previous trial, respectively. Reaction times for error trials averaged 5ms and 6ms slower for Monkeys 1 and 2, respectively.

Cue	Monkey 1		Monkey 2	
	Right	Left	Right	Left
Visually cued strategy task				
Stay	291 \pm 65	331 \pm 62	302 \pm 36	331 \pm 38
Shift	296 \pm 68	322 \pm 68	295 \pm 33	331 \pm 40
Fluid-cued strategy task				
Stay	284 \pm 61	325 \pm 63	299 \pm 36	324 \pm 40
Shift	280 \pm 65	320 \pm 74	293 \pm 38	324 \pm 42
Delayed-response task				
Stay	291 \pm 58	327 \pm 83	298 \pm 34	334 \pm 43
Shift	291 \pm 60	327 \pm 76	300 \pm 59	334 \pm 45

Supplementary Table 3. Number of cells recorded and task-related. % refers to the percentage of cells showing task-related activity.

Task	Delay condition	Monkey	Cells recorded	Task-related	%
Visually cued strategy task	standard (0.5 s)	first	347	162	47
		second	230	112	49
		both	577	274	47
	delayed (1.0 s)	first	89	42	47
		second	50	23	46
		both	139	65	47
Fluid-cued strategy task	standard (0.5 s)	first	194	91	47
		second	108	52	48
		both	302	143	47
Delayed-response task	standard (0.5 s)	first	156	56	36
		second	65	27	42
		both	221	83	38
	delayed (1.0 s)	first	51	22	43
		second	26	12	46
		both	77	34	44